

CALTRANS

STATE OF CALIFORNIA

DEPARTMENT OF TRANSPORTATION

BID

FOR CONSTRUCTION ON STATE HIGHWAY IN
MONTEREY AND SANTA CRUZ COUNTIES AT VARIOUS LOCATIONS FROM
TRAFTON ROAD UNDERCROSSING TO 0.2 MILE NORTH OF LARKIN
VALLEY ROAD.

In District 05 On Route 1

UNDER

Notice to Bidders and Special Provisions dated October 07, 2024

Project plans approved May 20, 2024

Standard Specifications dated 2023

Standard Plans dated 2023

Identified by

Contract No. 05-1K6404

05-Mon, SCr-1-R101.5/R102.0, R0.0/R7.9

Project ID 0518000239

Federal - Aid Project

PTNH-P001(702)E

Electronic Bidding Contract

Bids open Wednesday, December 04, 2024

Dated October 07, 2024

**STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION
BID TO THE DEPARTMENT OF TRANSPORTATION
DES-OE-0102.1 (REV 1/13/2017)**

NAME OF BIDDER Serafix Engineering Contractors, Inc

BUSINESS STREET ADDRESS 7 Crow Canyon Court Suite 250

CITY, STATE, ZIP San Ramon, CA, 94583

TELEPHONE NO. with AREA CODE

FAX NO. with AREA CODE

CONTRACTOR LICENSE NO. 1019229

PUBLIC WORKS CONTRACTOR REGISTRATION NO. 1000044417

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the Notice to Bidders.

For a cost plus time based bid on a contract without a plant establishment or permanent erosion control establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

For a cost plus time based bid on a contract with a plant establishment or permanent erosion control establishment period, Bidder additionally agrees to perform the non-establishment work within the number of working days bid for non-establishment work.

2. For a lump sum based bid, Bidder submits this bid with a total price in the total bid space provided on the Bid Item List. For a unit price or cost plus time based bid, Bidder submits this bid with a unit price and the item total (the product of the unit price and the quantity) for each item and a total price (the sum of the item totals) in the spaces provided on the attached Bid Item List. For a unit price with additive item based bid, Bidder submits this bid with a unit price and an item total for each item and a total base bid (the sum of the item totals) and the additive items in the spaces provided on the attached Bid Item List. Additionally, for a cost plus time based bid, Bidder submits this bid with working days bid for non-establishment work, total bid for time, and total bid for bid comparison in the spaces provided on the Bid Item List.

Bidder agrees:

2.1 If a discrepancy between the unit price and the item total exists, the unit price prevails except:

2.1.1 If the unit price is omitted, or the same as the item total, the item total prevails and the unit price is the quotient of the item total and the quantity.

2.1.2 If a decimal error is apparent in the product of the unit price and the quantity, the Department will use either the unit price or item total based on the closest by percentage to the unit price or item total in the Department's Final Estimate.

2.2 If the unit price and the item total are omitted, the bid may be determined nonresponsive. If a lump sum total price is omitted, the bid may be determined nonresponsive.

2.3 Bids on lump sum items are item totals. If a unit price for a lump sum item is entered and it differs from the the item total, the item total prevails.

2.4 Entries are to be expressed in dollars or decimal fractions of a dollar. Symbols such as commas and dollar signs are ignored and have no significance in establishing unit price or item total.

2.5 Unit prices and item totals are interpreted by the number of digits and decimal placement. Do not round item totals or the total bid.

2.6 For a lump sum based bid, the item total is the bid amount the Department uses for bid comparison.

For a unit price based bid, the sum of the item totals is the bid amount the Department uses for bid comparison.

For a cost plus time based bid, the sum of the item totals and the total bid for time is the bid amount the Department uses for bid comparison.

2.7 The Department's decision on the bid amount is final.

3. Bidder has and acknowledges the following addenda: 3

4. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid, including any additive items:

Electronic Bidder's Bond

5. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:

5.1 Criminal prosecution

5.2 Rejection of the bid

5.3 Rescission of the award

5.4 Termination of the Contract

BY (Authorized Signature) ; DATE SIGNED (Do not type)

PRINTED NAME AND TITLE OF PERSON SIGNING

ITEM NUMBER	ITEM CODE	QUANTITY	UNIT OF MEASURE	UNIT PRICE	ITEM TOTAL
BID ITEM LIST 1					
BID ITEM LIST					
0001	070030	1.000	LS	\$2,000.00	\$2,000.00
	LEAD COMPLIANCE PLAN				
0002	090100	75.000	WDAY	\$3,000.00	\$225,000.00
	TIME-RELATED OVERHEAD (WDAY)				
0003	120090	1.000	LS	\$45,000.00	\$45,000.00
	CONSTRUCTION AREA SIGNS				
0004	120100	1.000	LS	\$250,000.00	\$250,000.00
	TRAFFIC CONTROL SYSTEM				
0005	120103	75.000	DAY	\$1,500.00	\$112,500.00
	STATIONARY IMPACT ATTENUATOR VEHICLE				
0006	120165	8.000	EA	\$100.00	\$800.00
	CHANNELIZER (SURFACE MOUNTED)				
0007	120320	180.000	LF	\$125.00	\$22,500.00
	TEMPORARY BARRIER SYSTEM				
0008	128652	1.000	LS	\$20,000.00	\$20,000.00
	PORTABLE CHANGEABLE MESSAGE SIGN (LS)				
0009	129108	1.000	EA	\$6,500.00	\$6,500.00
	TEMPORARY CRASH CUSHION TL-3				
0010	129152	1.000	EA	\$4,000.00	\$4,000.00
	TEMPORARY RADAR SPEED FEEDBACK SIGN SYSTEM				
0011	130100	1.000	LS	\$100,000.00	\$100,000.00
	JOB SITE MANAGEMENT				
0012	130201	1.000	LS	\$5,000.00	\$5,000.00
	WATER POLLUTION CONTROL PROGRAM				
0013	130610	240.000	LF	\$20.00	\$4,800.00
	TEMPORARY CHECK DAM				
0014	130620	20.000	EA	\$400.00	\$8,000.00
	TEMPORARY DRAINAGE INLET PROTECTION				
0015	130640	190.000	LF	\$10.00	\$1,900.00
	TEMPORARY FIBER ROLL				
0016	130900	1.000	LS	\$5,000.00	\$5,000.00
	TEMPORARY CONCRETE WASHOUT				
0017	141120	460.000	LB	\$6.00	\$2,760.00
	TREATED WOOD WASTE				
0018	153121	100.000	CY	\$250.00	\$25,000.00
	REMOVE CONCRETE (CY)				
0019	153248	3190.000	SQFT	\$5.00	\$15,950.00
	REMOVE CONCRETE (MISCELLANEOUS) (SQFT)				
0020	160110	1980.000	LF	\$8.00	\$15,840.00
	TEMPORARY HIGH-VISIBILITY FENCE				
0021	170103	1.000	LS	\$20,000.00	\$20,000.00
	CLEARING AND GRUBBING (LS)				
0022	190101	140.000	CY	\$225.00	\$31,500.00
	ROADWAY EXCAVATION				
0023	190105	190.000	CY	\$250.00	\$47,500.00

ROADWAY EXCAVATION (TYPE Z-2) (AERIALY DEPOSITED LEAD)

0024	200002	1.000 LS	\$3,000.00	\$3,000.00
		ROADSIDE CLEARING		
0025	210010	3.000 EA	\$1,000.00	\$3,000.00
		MOVE-IN/MOVE-OUT (EROSION CONTROL)		
0026	210300	13700.000 SQFT	\$0.60	\$8,220.00
		HYDROMULCH		
0027	210350	390.000 LF	\$10.00	\$3,900.00
		FIBER ROLLS		
0028	210430	20800.000 SQFT	\$0.60	\$12,480.00
		HYDROSEED		
0029	260203	170.000 CY	\$170.00	\$28,900.00
		CLASS 2 AGGREGATE BASE (CY)		
0030	390132	270.000 TON	\$900.00	\$243,000.00
		HOT MIX ASPHALT (TYPE A)		
0031	394073	90.000 LF	\$10.00	\$900.00
		PLACE HOT MIX ASPHALT DIKE (TYPE A)		
0032	394076	1050.000 LF	\$10.00	\$10,500.00
		PLACE HOT MIX ASPHALT DIKE (TYPE E)		
0033	397005	0.100 TON	\$600.00	\$60.00
		TACK COAT		
0034	398100	1140.000 LF	\$5.00	\$5,700.00
		REMOVE ASPHALT CONCRETE DIKE		
0035	510092	4.100 CY	\$5,000.00	\$20,500.00
		(F) - STRUCTURAL CONCRETE, HEADWALL		
0036	610300	9.300 CY	\$500.00	\$4,650.00
		(F) - CONCRETE BACKFILL (PIPE TRENCH)		
0037	652311	24.000 LF	\$1,000.00	\$24,000.00
		18" REINFORCED CONCRETE PIPE (CLASS III, RUBBER GASKET JOINT)		
0038	652316	1140.000 LF	\$350.00	\$399,000.00
		24" REINFORCED CONCRETE PIPE (CLASS III, RUBBER GASKET JOINT)		
0039	705015	1.000 EA	\$1,000.00	\$1,000.00
		24" STEEL FLARED END SECTION		
0040	710152	1.000 EA	\$1,000.00	\$1,000.00
		REMOVE HEADWALL		
0041	710167	1.000 EA	\$300.00	\$300.00
		REMOVE FLARED END SECTION (EA)		
0042	710360	170.000 LF	\$50.00	\$8,500.00
		CLEANING, INSPECTING, AND PREPARING CULVERT (LF)		
0043	710368	220.000 CY	\$300.00	\$66,000.00
		CULVERT SLURRY-CEMENT BACKFILL		
0044	710384	170.000 LF	\$260.00	\$44,200.00
		24" CURED-IN-PLACE PIPELINER		
0045	721420	40.000 CY	\$1,700.00	\$68,000.00
		CONCRETE (DITCH LINING)		
0046	723080	7.000 CY	\$1,000.00	\$7,000.00
		ROCK SLOPE PROTECTION (60 LB, CLASS II, METHOD B) (CY)		
0047	729011	24.000 SQYD	\$30.00	\$720.00
		ROCK SLOPE PROTECTION FABRIC (CLASS 8)		
0048	730020	12.000 CY	\$1,700.00	\$20,400.00

MINOR CONCRETE (CURB) (CY)				
0049	731508	5470.000 SQFT	\$40.00	\$218,800.00
		MINOR CONCRETE (EXPOSED AGGREGATE CONCRETE)		
0050	731840	190.000 LF	\$35.00	\$6,650.00
		REMOVE CONCRETE (CURB AND GUTTER)		
0051	810230	44.000 EA	\$115.00	\$5,060.00
		PAVEMENT MARKER (RETROREFLECTIVE)		
0052	820112	11.000 EA	\$150.00	\$1,650.00
		MARKER (CULVERT)		
0053	820250	6.000 EA	\$100.00	\$600.00
		REMOVE ROADSIDE SIGN		
0054	820750	33.000 SQFT	\$15.00	\$495.00
		FURNISH SINGLE SHEET ALUMINUM SIGN (0.063"-UNFRAMED)		
0055	820760	10.000 SQFT	\$15.00	\$150.00
		FURNISH SINGLE SHEET ALUMINUM SIGN (0.080"-UNFRAMED)		
0056	820780	15.000 SQFT	\$30.00	\$450.00
		FURNISH SINGLE SHEET ALUMINUM SIGN (0.063"-FRAMED)		
0057	820840	5.000 EA	\$1,000.00	\$5,000.00
		ROADSIDE SIGN - ONE POST		
0058	820850	1.000 EA	\$2,500.00	\$2,500.00
		ROADSIDE SIGN - TWO POST		
0059	839765	175.000 LF	\$150.00	\$26,250.00
		RECONSTRUCT GUARDRAIL		
0060	840621	100.000 LF	\$175.00	\$17,500.00
		6" THERMOPLASTIC TRAFFIC STRIPE (ENHANCED WET NIGHT VISIBILITY) (BROKEN 17-7)		
0061	840623	220.000 LF	\$18.00	\$3,960.00
		6" THERMOPLASTIC TRAFFIC STRIPE (ENHANCED WET NIGHT VISIBILITY) (BROKEN 36-12)		
0062	846007	1160.000 LF	\$18.00	\$20,880.00
		6" THERMOPLASTIC TRAFFIC STRIPE (ENHANCED WET NIGHT VISIBILITY)		
0063	846051	1.000 STA	\$2,500.00	\$2,500.00
		12" RUMBLE STRIP (ASPHALT CONCRETE PAVEMENT)		
0064	870600	1.000 LS	\$100,000.00	\$100,000.00
		TRAFFIC MONITORING STATION SYSTEM		
0065	872131	1.000 LS	\$160,000.00	\$160,000.00
		MODIFYING LIGHTING SYSTEMS		
0066	872135	1.000 LS	\$225,000.00	\$225,000.00
		MODIFYING TRAFFIC MONITORING STATIONS		
0067	999990	1.000 LS	\$300,000.00	\$300,000.00
		MOBILIZATION		
Section 1 Total				\$3,053,925.00
Item Total				\$3,053,925.00

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION
SUBCONTRACTOR LIST
DES-OE-0102.2C (REV. 3/2015)

Enter Bid Item Number. (Item)

Enter percent of Bid Item subcontracted. (%)

If the percentage of the bid item work is less than 100 percent, list the description of the portion of the work to be subcontracted. Enter the description of the percentage of work to be performed by subcontractor. (Description)

DO NOT USE SEMICOLONS (;) IN YOUR INPUT.

Sample Data Entry:

Table with 3 columns: Item, %, Description. Rows: 6 75 striping, 42 15 reinforcement, 54 100

1) List this subcontractor? YES NO
Business Name QA CONSTRUCTORS INC Location City SAN FRANCISCO State CA
California Contractor License Number 1013265 Public Works Contractor Registration Number 1000047727
Portion of Work Subcontracted
Item % Description
30 100 ITEMS 30-34
67 20 MOBILIZATION (QA WORK ONLY)

2) List this subcontractor? YES NO
Business Name Location City State
California Contractor License Number Public Works Contractor Registration Number
Portion of Work Subcontracted
Item % Description

3) List this subcontractor? YES NO
Business Name LAMASSU UTILITY SERVICES Location City LOOMIS State CA
California Contractor License Number 1017757 Public Works Contractor Registration Number 2000001217
Portion of Work Subcontracted
Item % Description
42 100
44 100

4) List this subcontractor? YES NO
Business Name ON THE LEVEL CONCRETE Location City SAN FRANCISCO State CA
California Contractor License Number 813145 Public Works Contractor Registration Number 1000010577
Portion of Work Subcontracted

Item	%	Description
45	100	
48	100	
49	100	

5) List this subcontractor? YES NO
 Business Name DARREL VARNI ELECTRIC INC Location City WATSONVILLE State CA
 California Contractor License Number 735622 Public Works Contractor Registration
 Number 1000001220

Portion of Work Subcontracted

Item	%	Description
64	100	
65	100	
66	100	

6) List this subcontractor? YES NO
 Business Name SPECIALTY PAVEMENT MARKING Location City GOSHEN State CA
 California Contractor License Number 854894 Public Works Contractor Registration
 Number 1000886659

Portion of Work Subcontracted

Item	%	Description
51	100	
60	100	
61	100	
62	100	

7) List this subcontractor? YES NO
 Business Name AVILA TRAFFIC SAFETY Location City ATASCADERO State CA
 California Contractor License Number 962161 Public Works Contractor Registration
 Number 1000013321

Portion of Work Subcontracted

Item	%	Description
3	100	
4	25	TRAFFIC CONTROL(PARTIAL LANE CLOSURES)
17	100	
52	100	ITEMS 52-58

8) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted

Item	%	Description
------	---	-------------

9) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted

Item	%	Description
------	---	-------------

10) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted

Item % Description

11) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

12) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

13) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

14) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

15) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

16) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

17) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

18) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

19) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

20) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

21) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

22) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

23) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

24) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

25) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

26) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

27) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

28) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

29) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number

36) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

37) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

38) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

39) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

40) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

41) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

42) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

43) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

44) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

45) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

46) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

47) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

48) List this subcontractor? YES NO

Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

49) List this subcontractor? YES NO

Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

50) List this subcontractor? YES NO

Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

51) List this subcontractor? YES NO

Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

52) List this subcontractor? YES NO

Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

53) List this subcontractor? YES NO

Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

54) List this subcontractor? YES NO

Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted

- | Item | % | Description |
|------|---|-------------|
|------|---|-------------|
-
- 55) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted

Item	%	Description
------	---	-------------
-
- 56) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted

Item	%	Description
------	---	-------------
-
- 57) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted

Item	%	Description
------	---	-------------
-
- 58) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted

Item	%	Description
------	---	-------------
-
- 59) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted

Item	%	Description
------	---	-------------
-
- 60) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted

Item	%	Description
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**STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION
OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS
DES-OE-01012.a (REV 8/2012)**

To opt out of payment adjustments for price index fluctuations as specified, complete this form.

I opt out of the payment adjustments for price index fluctuations.

Enter Date and Name to opt out of payment adjustments for price index fluctuations.

Date:

Bidder's Name:

Signature of Bidder: _____

**STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION
SMALL BUSINESS/DVBE/DBE CERTIFICATION STATUS
DES-OE-0102.4 (REV 1/2024)**

Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

YES NO Certification number?: 2003487

Are you certified as a "Disabled Veteran Business Enterprise" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

YES NO Certification number?:

Are you certified as a "Disadvantaged Business Enterprise" by the California Unified Certification Program (CUCP)?:

YES NO Certification number?:

Note: This questionnaire is included for statistical reporting only.

CERTIFICATIONS**FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION**

Bidder certifies, to the best of his or her knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC section 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over \$100,000 and these subcontractors shall certify and disclose.

DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB 0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352 YES NO

1. Type of Federal Action:

2. Status of Federal Action:

3. Report Type:

For Material Change Only:

Year

Quarter

Date of last report

4. Name and Address of Reporting Entity:

Tier , if known

Congressional District, if known :

5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime:

Congressional District, if known:

6. Federal Department/Agency:

7. Federal Program Name/Description:

CFDA Number, if applicable

8. Federal Action Number, if known:

9. Award amount, if known (\$):

10. a. Name and Address of Lobby Registrant (if individual, last name, first name, MI):

b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):

11. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Print Name:

Title:

Telephone No.:

Date:

Signature_____

Federal Use Only:

Authorized for Local Reproduction
Standard Form - LLL(Rev.7-97)

INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State, and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number;

Invitation for Bid (IFB) number; grant announcement number, the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."

9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.

10.(a)Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action. (b)Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).

11.The certifying official shall sign and date the form, print his/her name title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

**STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION
CERTIFICATIONS**

EQUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION

Bidder SERAFIX ENGINEERING CONTRACTORS, INC., proposed subcontractor, certifies that he has participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

Notes:

The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note 41 CFR 60-1.7(b)(1) prevents the award of contracts and subcontracts unless the Contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29, DEBARMENT AND SUSPENSION CERTIFICATION

Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;

has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;

does not have a proposed debarment pending; and

has not been indicted, convicted, or had a civil judgement rendered against it by a court of competent jurisdiction in any matter involving fraud or official

misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code § 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

NONCOLLUSION

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID

Under PCC 7106 and 23 USC 112, the bidder declares as follows:

State of CA County of CONTRA COSTA

Name: LARRY E BRANDT ,

being first duly sworn, deposes and says that he or she is PRESIDENT of SERAFIX ENGINEERING CONTRACTORS, INC

the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code § 7110, the contractor acknowledges that:

1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders,

as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and

2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation?

YES NO

If the answer is yes, explain the circumstances in the following space.

ANTITRUST LAW

Under Pub Con Code § 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder has has not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code § 1101, with any public entity, as defined in Pub Cont Code § 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the Standard Specifications.

BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

YES NO

2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsible bidder by a public entity, including federal, State, local or regional entities?

YES NO

3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

YES NO

4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:

4.1. Date of each nonresponsibility determination

4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination

4.3. Contract number for each nonresponsibility determination

Executive Order N-6-22 - Russia Sanctions

On March 4, 2022, Governor Gavin Newsom issued Executive Order N-6-22 (the EO) regarding Economic Sanctions against Russia and Russian entities and individuals. "Economic Sanctions" refers to sanctions imposed by the U.S. government in response to Russia's actions in Ukraine, as well as any sanctions imposed under state law unless the contract has been Federalized (i.e. there is federal participation in any phase). By submitting a bid or proposal, Contractor represents that it is not a target of Economic Sanctions. Should the State determine Contractor is a target of Economic Sanctions or is conducting prohibited transactions with sanctioned individuals or entities,

that shall be grounds for rejection of the Contractor's bid/proposal any time prior to contract execution, or, if determined after contract execution, shall be grounds for termination by the State.

END CERTIFICATIONS - FEDERAL

Bidder's Bond

DES-OE-0102.3 (REV 3/2008)

Bidder's Security: Electronic Bidder's Bond

If electronic Bid Bond data is not submitted with bid, submit Bidder's Security. Bidder's Security must be received by Office Engineer before bid opening date and time. Bid Bond forms can be downloaded from the Caltrans Electronic Bidding website at: http://www.dot.ca.gov/hq/esc/oe/electronic_bidding/electronic_bidding.html. Bid Bond forms are also the last 2 pages of the printout of the electronic bid.

Bond ID: SCA1113473070

Bond Registry: Surety2000

Bond Percent: 10

**STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION
BIDDER'S BOND
DES-OE-0102.3 (REV 3/2008)**

Contract No. 05-1K6404

Bond No. _____

We _____

_____ as Principal, and

_____ as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:

WHEREAS, the Principal is submitting a bid to the Obligee, for CONSTRUCTION ON STATE HIGHWAY IN MONTEREY AND SANTA CRUZ COUNTIES AT VARIOUS LOCATIONS FROM TRAFTON ROAD UNDERCROSSING TO 0.2 MILE NORTH OF LARKIN VALLEY ROAD.

In District 05 On Route 1 for which bids are to be opened at 1727 30th Street, Sacramento CA 95816 on Wednesday, December 04, 2024

NOW, THEREFORE, if the Principal is awarded the contract, and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgment is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated _____, 20_____.

Principal

Surety

Address of Surety (For correspondence or claims)

By _____

Attorney-in-Fact

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California

County of _____

On this _____ before me, _____ ,
Date Here Insert Name and Title of the Officer

personally appeared _____ ,
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity (ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

(SEAL)

Signature _____
Signature of Notary Public

Bid Bond in Accordance with Contract Specifications

Be sure to refer to the actual bond documents referenced in the contract specifications for specific terms before completing this form.

PRINCIPAL NAME Serafx Engineering Contractors, Inc.	PRINCIPAL ADDRESS 7 Crow Canyon Court #250, San Ramon, CA 94583
SURETY NAME Western Surety Company	SURETY ADDRESS 151 N Franklin Street, Chicago, IL 60606
OBLIGEE NAME State of California, Department of Transportation	OBLIGEE ADDRESS 1727 30th Street, Bidders' Exchange, MS 26, Sacramento, CA 95816

Bond Information

BID DATE 12/04/2024	CONTRACT ID 05-1K6404	CONTRACT VENDOR ID VC1700002004
PROJECT DESCRIPTION FOR CONSTRUCTION ON STATE HIGHWAY IN MONTEREY AND SANTA CRUZ COUNTIES AT VARIOUS LOCATIONS FROM TRAFTON ROAD UNDERCROSSING TO 2.5 MILE NORTH OF LARKIN VALLEY ROAD		
AMOUNT OF BID SECURITY 10%	AMOUNT OF BID SECURITY-SPELLED OUT Ten percent (10%) of total amount of bid	
BOND ENTERED AND EXECUTED BY Rossio Polio		ATTORNEY-IN-FACT SIGNATURE <i>Rossio Polio</i>

Know all men by these presents that Western Surety Company, a Corporation duly organized under the laws of the State of South Dakota, are held and firmly bound unto the above owner/obligee by the transmission. The surety agrees to waive the statute of fraud defense and further agrees that the owner/obligee is a third party beneficiary of the waiver for the purposes of enforcing this bid bond.



Western Surety Company

POWER OF ATTORNEY APPOINTING INDIVIDUAL ATTORNEY-IN-FACT

SCA1113473070

Know All Men By These Presents, That WESTERN SURETY COMPANY, a South Dakota corporation, is a duly organized and existing corporation having its principal office in the City of Sioux Falls, and State of South Dakota, and that it does by virtue of the signature and seal herein affixed hereby make, constitute and appoint **Rossio Polio**, Individually

of, **California**, its true and lawful Attorney(s)-in-Fact with full power and authority hereby conferred to sign, seal and execute for and on its behalf bonds, undertakings and other obligatory instruments of similar nature

- In Unlimited Amounts -

and to bind it thereby as fully and to the same extent as if such instruments were signed by a duly authorized officer of the corporation and all the acts of said Attorney, pursuant to the authority hereby given, are hereby ratified and confirmed.

This Power of Attorney is made and executed pursuant to and by authority of the Authorizing By-Laws and Resolutions printed at the bottom of this page, duly adopted, as indicated, by the shareholders of the corporation.

In Witness Whereof, WESTERN SURETY COMPANY has caused these presents to be signed by its Vice President and its corporate seal to be hereto affixed on this 10th day of January, 2024.



WESTERN SURETY COMPANY

Larry Kasten, Vice President

State of South Dakota }
County of Minnehaha } ss

On this 10th day of January, 2024, before me personally came Larry Kasten, to me known, who, being by me duly sworn, did depose and say: that he resides in the City of Sioux Falls, State of South Dakota; that he is a Vice President of WESTERN SURETY COMPANY described in and which executed the above instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal; that it was so affixed pursuant to authority given by the Board of Directors of said corporation and that he signed his name thereto pursuant to like authority, and acknowledges same to be the act and deed of said corporation.

My commission expires

March 2, 2026



M. Bent, Notary Public

CERTIFICATE

I, Paula Kolsrud, Assistant Secretary of WESTERN SURETY COMPANY do hereby certify that the Power of Attorney hereinabove set forth is still in force, and further certify that the By-Law and Resolutions of the corporation printed below this certificate are still in force. In testimony whereof I have hereunto subscribed my name and affixed the seal of the said corporation this **11/13/2024**



WESTERN SURETY COMPANY

Paula Kolsrud, Assistant Secretary

Authorizing By-Laws and Resolutions

ADOPTED BY THE SHAREHOLDERS OF WESTERN SURETY COMPANY

This Power of Attorney is made and executed pursuant to and by authority of the following By-Law duly adopted by the shareholders of the Company.

Section 7. All bonds, policies, undertakings, Powers of Attorney, or other obligations of the corporation shall be executed in the corporate name of the Company by the President, Secretary, and Assistant Secretary, Treasurer, or any Vice President, or by such other officers as the Board of Directors may authorize. The President, any Vice President, Secretary, any Assistant Secretary, or the Treasurer may appoint Attorneys in Fact or agents who shall have authority to issue bonds, policies, or undertakings in the name of the Company. The corporate seal is not necessary for the validity of any bonds, policies, undertakings, Powers of Attorney or other obligations of the corporation. The signature of any such officer and the corporate seal may be printed by facsimile.

This Power of Attorney is signed by Larry Kasten, Vice President, who has been authorized pursuant to the above Bylaw to execute power of attorneys on behalf of Western Surety Company.

This Power of Attorney may be signed by digital signature and sealed by a digital or otherwise electronic-formatted corporate seal under and by the authority of the following Resolution adopted by the Board of Directors of the Company by unanimous written consent dated the 27th day of April, 2022:

“RESOLVED: That it is in the best interest of the Company to periodically ratify and confirm any corporate documents signed by digital signatures and to ratify and confirm the use of a digital or otherwise electronic-formatted corporate seal, each to be considered the act and deed of the Company.”

Go to www.cnasurety.com > Owner / Obligee Services > Validate Bond Coverage, if you want to verify bond authenticity.

